Preapproval of Special Seismic Certification by OSHPD is a voluntary program for Equipment Manufacturers!
Scope of OSHPD Special Seismic Certification Preapproval (OSP)

- OSHPD Special Seismic Certification Preapproval (OSP) is limited to:
  - Components that require special seismic certification in accordance with the CBC 2013 Section 1705A.12.4.
  - OR
  - Components that require special seismic certification in accordance with the ASCE 7-10 Section 13.2.2.
What is Special Seismic Certification?

- Special Seismic Certification is a “Certificate of Compliance” provided by Manufacturers with assurance that after a Design Earthquake (DE) equipment shall maintain:

  1. Structural Integrity, and

  2. Functionality
Minimum Requirements for OSP

• For OSP of a product line with similar structural configuration, OSHPD requires:

• Testing of at least two units (typically a small & largest/heaviest units) and

• Verification of similarities for interpolated units
• If the units are to be qualified for **different support, mounting, or attachment conditions**:

  • With and without vibration isolators (Internal and External Isolators are NOT equivalent), or
  
  • Different mounting types, such as wall mounted vs. floor mounted, etc.

• **Test of two units** shall be required for **each anchorage or mounting configuration**
• If the **units are made of different materials:**
  (e.g. Carbon Steel vs. Stainless Steel, etc.)

• **Test of two units** shall be required for each active and energized components/sub-components.

• **At least one test** is required for non-active sub-components (provided there are at least two component tests) such as sheet metal housing
Test of two Units Required for each Sub-Component Manufacturer

- If sub-components are made by different manufacturer’s:

  - **Test of two units** shall be required for **each** manufacturer

  - **Special Seismic Certification** pre-approval is valid for components with design, construction, and quality control equivalent to units tested.
Simultaneous Testing of Multiple Units on Shake Table is Permitted

- Multiple units can be tested on a single shake table simultaneously:
  - Provided table is capable of achieving the Required Response Spectra (RRS) with total payload.

Illustration by Jeff Gatscher & Scott Littler
US Test Facility Requirements

• Testing laboratory shall have ISO 17025 accreditation,

or

• Testing shall be under the responsible charge of an independent California Licensed engineer.
Test Report Requirements

- Test reports shall be reviewed and accepted by a independent California Licensed Structural Engineer, unless it is prepared by one.
Pre-Test Meeting with OSHPD is Recommended

- OSHPD strongly recommend that Manufacturer’s representative and California licensed structural engineer in responsible charge of the OSP meet with OSHPD to review:

  - Test plan that will justify the scope of approval (OSHPD does not approve test plans).
  - Scope of pre-approval.
  - Test standard and reporting requirements.
  - Seismic Certification Label requirements.
What is the Acceptance Criteria after Shake Table Testing?

• Post-test acceptance criteria for shake-table testing shall be as required by ICC-ES AC 156:

1. **Structural Integrity** of components, supports, and attachments shall be maintained.

2. **Functionality** of components shall be maintained equivalent to pre-shake table test functionality test.

• **Functionality test shall be similar to what manufacturer normally performs prior to delivery of the components for use.**
Can the Active Mechanical and Electrical Equipment get an OSP by analysis?

• No!

• Active and energized equipment/components shall be certified **exclusively** on the basis of shake table testing.

• ONLY connecting elements (such as pipes, interconnection between components, etc.), attachments, and supports can be justified by supporting analysis.
What are Components, Supports, and Attachments?
What is a Component?

• **COMPONENT**: A part of an architectural, electrical, or mechanical system (e.g. equipment, component, sub-component, sub-assembly, etc.).

• **Nonstructural Component**: A part of an architectural, mechanical, or electrical system within or without a building or nonbuilding structure.
What are Supports?

• SUPPORTS: Those members, assemblies of members, or manufactured elements, including braces, frames, legs, lugs, snubbers, hangers, saddles, or struts, and associated fasteners that transmit loads between nonstructural components and their attachments to the structure.
What are Attachments?

- **ATTACHMENTS:** Means by which components or supports of nonstructural components are secured or connected to the seismic force-resisting system of the structure. Such attachments include anchor bolts, welded connections, and mechanical fasteners.
What is a Sub-Component?

- **Sub-Component**: A portion of an equipment or component that is uniquely identified by a part number (also known as model number or identification number).
**What is a Sub-Subassembly?**

**Subassemblies**: A grouping or assemblage of sub-components and/or structural elements that require attachment to the component’s primary force resisting system to achieve structural stability.
What is a System?

System: A group or combination of interrelated, interdependent, or interacting elements, equipment, or components forming a collective entity. Also, referred to as multi-component system.
What is Equivalence?

**Equivalence**: The material, method or work offered, is for the purpose intended, at least the equivalent of that prescribed in code in quality, strength, effectiveness, fire resistance, durability and safety.
OSP Submittal Requirements

1. Completed **application form in word format with signature attached** (embedded electronically).
2. Application review fee of $5,000.00 or Renewal fee of $1,000.00.
   - Application review and renewal fees are **nonrefundable**.
   - Use OSHPD FDD Payment form:
     [http://www.oshpd.ca.gov/FDD/Forms/eSPForms/OSH-AD_367%20Facilities%20Development%20Division%20Payment%20Form.pdf](http://www.oshpd.ca.gov/FDD/Forms/eSPForms/OSH-AD_367%20Facilities%20Development%20Division%20Payment%20Form.pdf)
3. Complete test report(s) in electronic form that satisfy requirements of ICC-ES AC 156.
4. Verification of similarities for interpolated units in the form of manufacturer's catalog and/or schematic cut sheets.
5. All documents shall be **submitted electronically** (by e-mail or equivalent) to osp@oshpd.ca.gov
OSHPD Contact for Additional Information about OSP

M. R. Karim
Ph. 916-440-8410
E-mail: OSP@oshpd.ca.gov

Mailing Address:
Office of Statewide Health Planning and Development (OSHPD)
Facilities Development Division
Attn.: M. R. Karim
Supervisor, Structural Support Unit
400 R. Street # 200
Sacramento, CA 95811
Does the Component Design need to Comply with the CBC 2013?

- Yes!
  - Demand and capacity shall be based on the CBC 2013.
Can the Analysis be Bookended Similar to Testing?

• No!

• Analysis is only good for the unit analyzed (limited variations and/or grouping may be acceptable).
Can I get an OSP by Analysis or Experience data?

- No!
- OSP is based on test ONLY.
What $R_p$ value can be used for Special Seismic Certification?

- $R_p/I_p = 1.0$ for Special Seismic Certification by testing in accordance with ICC-ES AC 156.

- $R_p = 1.0$ & $I_p = 1.5$ shall be used for analysis part of Special Seismic Certification, since functionality can’t be verified by analysis.

- ASCE 7-10 does not provide $R_p$ and $a_p$ values for special seismic certification.

- $R_p$ and $a_p$ values in ASCE 7-10 can be used for design of supports and attachments.
What Test Protocol should be used for Shake Table Testing?

ICC-ES AC 156

Acceptance Criteria for Seismic Certification By Shake-Table Testing of Nonstructural Components
(Effective November 1, 2010 and Editorially Revised February, 2012)

- Where normal operating conditions of equipment involve variation of contents (e.g. empty and full of operating fluid, etc.) or configuration, each operating condition or configuration shall be simulated in the tests.

- If uniaxial or bi-axial tests are used for certification, a test at 45-degree to two horizontal orthogonal directions shall be required in addition to tests in two horizontal and vertical directions.
Can test of a Component with Rigid and Isolated Supports Justify Installation with Intermediate Support Conditions?

- Yes!

- Provided mounting configurations (e.g. installation is wall mounted, etc.) are similar and only difference is in the attachments, and

- Component is surface mounted.
What is a Surface Mounted Component?

**Surface Mounted Component:** Means component directly attached to wall, floor, or roof only, without supports. Surface mounted components are directly attached to a surface by attachments (without any supports) and are not connected to anything else (e.g. distribution system, other components, etc.).
Yes! **Provided:**

- Test facility has ISO 17025 accreditation.
- Test report is available in English.
- Test report is prepared under the responsible charge of a California Licensed Structural Engineer.
• Following **Systems** Require Certification in accordance with CBC 2013 Section 1705A.12.4.1:

1. **Emergency and standby power systems.**
2. **Radiography & fluoroscopy systems in fluoroscopy rooms.**
3. **CT (Computerized Tomography) systems.**
4. **Elevator equipment (excluding elevator cabs).**
5. **Power isolation and correction systems.**
6. **Motorized surgical lighting systems.**
7. **Motorized operating table systems.**
What Systems/Components Require Special Seismic Certification for OSHPD 1 Buildings?

- Following **Equipment and Components** Require Certification in accordance with the CBC 2013 Section 1705A.12.4.1:

  8. Components with hazardous contents.
  9. Exhaust and smoke control fans Air conditioning units.
  10. Air handling units.
  11. Chillers, evaporators, and condensers.
What Systems/Components Require Special Seismic Certification for OSHPD 1 Buildings?

- Following Equipment and Components Require Certification in accordance with the CBC 2013 Section 1705A.12.4.1:
  15. Switchgear and switchboards.
  16. Transformers.
  17. Electrical substations.
  18. UPS and batteries.
  19. Distribution panels.
  20. Control panels.
What Components are Exempt from Special Seismic Certification for OSHPD 1 Buildings?

1. **Equipment and components weighing not more than 20lbs. supported directly on structures (and not mounted on other equipment or components).**

2. **Movable (mobile) and temporary equipment/components, which are not anchored to structure or permanently attached to the building utility services such as electricity, gas, or water.**

3. **Pipes, ducts, conduits, and cable trays, excluding in-line equipment and components.**

4. **Underground tanks.**

5. **Electric motors and pumps not more than 10 hp. rigidly supported directly** on structures.
Do the Components Mounted on/or Supporting Equipment NOT Listed in the CBC 2013 Section 1705A.12.4.1 Require Special Seismic Certification?

- **Yes!** All components listed in the CBC 2013 Section 1705A.12.4.1 require special seismic certification for OSHPD 1 buildings irrespective of their function or location.

- Components not listed in the CBC 2013 Section 1705A.12.4.1 may be rugged but not the components listed, hence will require special seismic certification.

- Certain components that are not rugged and are required for functionality of hospitals are not listed in the CBC 2013 Section 1705A.12.4.1, since requirements may not be enforceable at this time considering readiness of the industry.
Does Very Small Amount of Hazardous Content in a Component Trigger Special Seismic Certification Requirements?

❖ **NO!**

❖ *Special Seismic Certification is required for components when the maximum allowable quantity of Hazardous Materials per control area as specified in the CFC 2013 Tables 5003.1.1(1) through 5003.1.1(4) are exceeded.*
Do the Redundant or Optional Equipment Require Special Seismic Certification?

- Yes!

- All equipment listed in the CBC 2013 Section 1705A.12.4.1 require special seismic certification for OSHPD 1 buildings even if they are considered redundant or optional.

- Redundancy and options are provided only because they are considered necessary/required.

- Consequential damage due to failure of redundant or optional components may cause failure of essential components.
Yes!

- All equipment listed in the CBC 2013 Section 1705A.12.4.1 require special seismic certification for OSHPD 1 buildings even when they are not directly required for patient beds.

- All support spaces in an OSHPD 1 building are considered essential for the operation of the hospital building.
Can the Supports and Attachments for Equipment with an OSP be Substituted?

- Yes! Provided substituted supports and attachments have:
  - Similar installation configurations (e.g. Support, Mounting, Attachment, Orientation, etc.), and
  - Equivalent Strength and Stiffness to those tested and approved.
What does a Special Inspector Need to Verify in the Field for Special Seismic Certification?

Special Inspector shall verify:

1. **Label** for conformance with the certificate of compliance.

2. **Anchorage or mounting** for conformance with the certificate of compliance and construction documents.
Does Certificate of Compliance for Each Component that Requires Special Seismic Certification Need to be Submitted to OSHPD for Review?

Yes!

“Certificate of Compliance” by the Manufacturers for each component that requires special seismic certification shall be submitted to OSHPD for review after review and acceptance by the Registered Design Professionals.
Can a Single Product with Absolutely No Variation be Certified by One Test Only?

❖ Yes! Provided:

1. Manufacturing process is ISO 9001 certified.

2. A single product (and not a product line with more than one product with variations) is certified.

3. Variations, if any, are limited to software and color/branding.
What Systems/Components Require Special Seismic Certification for OSHPD 2 & 3 Buildings?

- Special Seismic Certification is required for components with importance factor \( I_p \) equal to 1.5 in accordance with the CBC 2013 Section 1705.12.3.

- Component importance factor \( I_p \) shall be in accordance with ASCE 7-10 Section 13.1.3.

- For OSHPD 2 Skilled Nursing Facilities (SNF) with Sub-Acute beds, requirements in CAN 3-517.40(B) shall apply: http://www.oshpd.ca.gov/FDD/regulations/CANs/2013/3-517.40(B).pdf
How much change can be made before OSP becomes invalid?

OSP will be nullified when:

- Design, construction, or quality control/quality assurance method are **materially altered** as defined in the California Administrative Code, 2013 Section 7-111.

  OR

- Strength, Stiffness, Size, Weight, Materials, Support, Orientation, or Manufacturer are changed/altered so that they are no longer equivalent to what was approved in the OSP.
Where Do I Find an OSP Application form?

- OSP application form is available at OSHPD Website:
  
  http://www.oshpd.ca.gov/FDD/Pre-Approval/index.html
Can we Submit Multiple Products through a Single OSP Application?

- Yes!
  - How the product will be grouped for approval is completely up to the Manufacturer.
Will OSHPD Distribute Approved OSP’s?

- Yes!
- All approved OSP’s will be posted at OSHPD website.
- OSHPD website shows all currently approved OSP at:
  
  http://www.oshpd.ca.gov/FDD/Pre-Approval/special_seismic_cert_pre-approval.html

- OSP list by category is available at:
  
  http://www.oshpd.ca.gov/FDD/Pre-Approval/OSP_List_by_Category.pdf
Are Third Party Seismic Certification Subject to OSHPD Review?

• Yes!

  • Required by Health and Safety Code Sections 129680 and 129770.

  • Required by the CBC 2013 Section 1601A.2.
How Long will it take for OSHPD to review the OSP Application once Submitted?

- OSHPD has a 60/30/30 Goal!
  - New OSP Application Submittal shall be Reviewed within 60 days.
  - Back Checks for OSHPD Comments will be Reviewed within 30 days.
  - Renewal Request for OSP shall be Reviewed within 30 days.
Certificate of Compliance
Seismic Certification Label
California Building Code

OSHPD Special Seismic Certification Preapproval: OSP-0XXX-10
Product Name: XXXX
Product Type: XXX
Supports and Attachments: XXXXX
Seismic Performance Characteristics: $S_{DS}(g) = x.xx, z/h = 1.0, I_p = 1.5$
Manufacturer’s Identification Number: XXXXXXXXXXXXXXX

Company Logo

Label Tracking Number (IF any)